



* Indicates **required** field

*** 1. Please confirm the items below:**

Name of J&J Company:
Business Sponsor Name:
Contact Name:
Phone:
Address:

Below are the Category(ies) of Services your Company will be providing to J&J:

Logistics Intermediaries:
Sales Intermediaries:
Tender Intermediaries:
Lobbyists:
Other Intermediary Services:

Below is the form of compensation(s) for services your Company will be providing to J&J:

Does any of the information above in 1.0 need to be updated?

No
Yes

Does your country listed below need to be updated?

No
Yes

*** 2. In accordance with the Agreement entered into between your Company and a Johnson & Johnson company ("J&J"), and/or Due Diligence conducted by J&J, you certify that your Company has complied with all applicable anti-corruption laws, regulations and industry codes.**

No
Yes

*** 3. From the time of the most recent certification regarding the present subject:**

3.1. Your Company has provided training on applicable anti-corruption laws regulations and industry codes regarding interactions with Government Officials and/or Health Care Professionals to all persons employed or appointed by your Company, including affiliates and sub-contractors, who interact with Government Officials or Health Care Professionals on behalf of Johnson & Johnson.

No
Yes

3.2. To the best of your knowledge, there have been no infringements of the above mentioned anti-corruption laws, regulations or industry codes by your Company, persons employed or sub-contractors appointed by your Company to work on behalf of Johnson & Johnson.

No
Yes

3.3. Key personnel/Owners/Principals/Partners (Key Personnel) at your company have not materially changed since the time of your most recent certification regarding due diligence conducted by J&J.

No
Yes

If Confirmed, go to **5**; if Not confirmed, go to **4**.

*** 4.** Please provide (a) the name of key personnel employed or appointed by your Company since last certification and contact information; (b) position to which employed or appointed, and (c) commencement date:

- * First Name
- * Middle Name
- * Last Name
- * Other name(s) used
- * Position to which employed or appointed
- * Title
- * Commencement date

*Note: Key Personnel are personnel employed by or otherwise affiliated with the TPI who perform the work required or influence or make important decisions in the context of the TPI's relationship with the J&J company. Such work or decisions may include, but are not limited to:

- Scope of services
- Completion of Due Diligence Survey
- Hands-on performance of services
- Management and oversight of services, including staffing decisions
- Relevant financial arrangements.

*** 4.1.** Do any key personnel employed or appointed by your Company since last certification and contact information currently hold, or have previously held, any of the following positions (check all applicable)?

- Elected or appointed official, employee, agent or representative of any government agency or institution or government-owned or government controlled company
- Political party official
- Candidate for political office
- Officer, agent, or employee of a publicly funded or public international organization (i.e., UN, IMF, WHO, etc.)
- None of the above

If selection is anything but "none of the above" go to **4.2**, if "none of the above" go to **4.4**.

*** 4.2.** Can any of the key personnel employed or appointed by your Company since last certification and contact information make or influence decisions or recommendations regarding (check all applicable)?

Pricing of J&J products
 Formulary status of J&J products
 Reimbursement of J&J products
 Purchase of J&J product for companies, institutions, organizations, etc.
 Approval of registrations, permits or other authorizations related to J&J products
 Any other registrations, permits or authorizations related to J&J business interests
 None of the above

If selection is anything but “none of the above” go to **4.3**, If “none of the above” go to question **4.4**.

4.3. Please provide the name and job title for each key personnel employed or appointed by your Company since last certification and contact information who currently holds (or formerly held) a government position:

- * First Name
- * Middle Name
- * Last Name
- * Other name(s) used
- * Position to which employed or appointed
- * Title
- * Commencement date

* **4.4.** Do any family members of key personnel employed or appointed by your Company since last certification and contact information currently hold, or have previously held, any of the following positions (check all applicable)?

Elected or appointed official, employee, agent or representative of any government agency or institution or government-owned or government controlled company
 Political party official
 Candidate for political office
 Officer, agent, or employee of a publicly funded or public international organization (i.e., UN, IMF, WHO, etc.)
 None of the above

If selection is anything but “none of the above” go to **4.5**, if “none of the above” go to **5**.

* **4.5.** Can any family members of the key personnel employed or appointed by your Company since last certification and contact information make or influence decisions or recommendations regarding (check all applicable)?

Pricing of J&J products
 Formulary status of J&J products
 Reimbursement of J&J products
 Purchase of J&J product for companies, institutions, organizations, etc.
 Approval of registrations, permits or other authorizations related to J&J products
 Any other registrations, permits or authorizations related to J&J business interests
 None of the above

If selection is anything but “none of the above” go to **4.6**, If “none of the above” go to question **5**.

4.6. Please provide the name, job title, and description of the relationship for the family member for each key personnel employed or appointed by your Company since last certification and contact information:

- * First Name
- * Middle Name
- * Last Name
- * Other name(s) used
- * Position to which employed or appointed
- * Title
- * Commencement date

* **5.** From the time of the most recent certification regarding the present subject:

Your Company has made no material changes in its use of sub-intermediaries (if any) to perform the services required under the Agreement with J&J.

No
Yes

If Confirmed, go to **6**; if Not confirmed, go to **5.1**.

5.1. Has your Company started using any sub-intermediaries to perform the required work on behalf of J&J?

No
Yes

If Yes, go to **5.2**, if No, go to **6**.

5.2. What type of services have been provided by sub-intermediaries?

Sales Intermediaries (SIs):

SI: Assists in soliciting business for the sale of J&J Company's products or services

SI: Takes title to inventory

SI: Sales Intermediary and J&J jointly own inventory

SI: Handles customer invoicing

SI: Handles warehousing

SI: Handles delivery

SI: Handles debt collection

SI: Manages customer relationship

SI: Manages marketing and sales activity with J&J providing marketing support

SI: Manages marketing and sales activity without J&J providing marketing support

SI: Sales Intermediary and J&J share sales activities and customer relationship, with J&J providing marketing support.

SI: Other activities or services: (please describe)

Logistics Intermediaries (LIs):

LI: Responsible for warehousing

LI: Responsible for delivery
 LI: Responsible for debt collection
 LI: Acts on behalf of J&J by clearing of goods in the sales chain through Customs and other government agencies (Customs Brokers)
 LI: Acts on behalf of J&J by arranging shipment of goods in the sales chain (freight forwarders - by land, sea or air)
 LI: Interacts directly with HCPs/GOs to arrange delivery and/or collection from customer sites (e.g., hospitals)
 LI: Other activities or services: (please describe)

Tender Intermediaries (TIs):

TI: Interacts on behalf of J&J in a public procurement process (tenders)
 TI: Other activities or services: (please describe)

Lobbyists:

Lobbyists: Interacts with GOs on behalf of J&J with respect to government actions or plans that may impact the interests of J&J Companies
 Lobbyists: Other activities or services: (please describe)

Other Intermediary Services:

Other: Contract/Clinical Research Organizations ("CRO")
 Other: Regulatory consultants
 Other: Travel agents (non-AMEX)
 Other: Meeting planner
 Other: Media agency
 Other: Intermediary arranges site visits for HCPs/GOs (including regulatory agency inspections)
 Other: Other activities or services: (please describe)

5.3. How many sub-intermediaries have been used by your Company?

1 to 5
 More than 5

5.4. Have any sub-intermediaries interacted with HCPs or government officials on behalf of J&J?

No
 Yes

If Yes, go to **5.5**, if No, go to **5.6**.

5.5. Please explain the sub-intermediary's interaction with HCPs or government officials.

5.6. Has J&J had a direct role in the selection, approval, management or supervision of any sub-intermediary used by your Company?

No
 Yes

If Yes, go to **5.7**, if No, go to **5.8**.

5.7. Please provide the name and contact information of the sub-intermediary:

- * Sub-intermediary Name
- * Contact First Name
- * Contact Last Name
- * Contact Email

5.8. Has any such sub-intermediary performed approximately half or more of the work requested by J&J?

No
Yes

If Yes, go to **5.9**, if No, go to **5.10**.

5.9. Please provide the name and contact information of the sub-intermediary:

- * Sub-intermediary Name
- * Contact First Name
- * Contact Last Name
- * Contact Email

5.10. Will any such sub-intermediary generate approximately half or more of your Company's annual revenue?

No
Yes

If Yes, go to **5.11**, if No, go to **6**.

5.11. Please provide the name and contact information of the sub-intermediary:

- * Sub-intermediary Name
- * Contact First Name
- * Contact Last Name
- * Contact Email

* **6.** At all times since the most recent certification regarding the present subject:

Your Company has maintained true and accurate records necessary to demonstrate compliance with the Agreement with J&J. In addition, your Company agrees to provide J&J with access, upon request, to records (financial or otherwise) as well as supporting documentation in its possession, in order to verify compliance with said Agreement.

No
Yes

* **7.** From the time of the most recent certification regarding the present subject:

Your Company further agrees that if subsequent developments cause the certifications and information provided herein to be no longer accurate or complete, your Company will immediately advise Johnson & Johnson in writing.

No
Yes